



PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE1754  
Saw

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL  
FORM

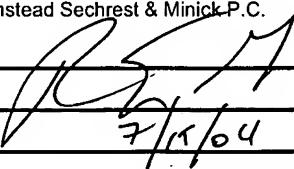
(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

	Application Number	10/033,092
	Filing Date	December 28, 2001
	First Named Inventor	Richard E. Smalley
	Art Unit	1754
	Examiner Name	Not yet assigned
3	Attorney Docket Number	11321-P012USD4

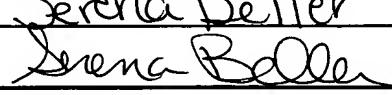
ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input checked="" type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Notification of Loss of Small Entity Status; postal receipt card
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Winstead Sechrest & Minick P.C.
Signature	
Date	7/15/04

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Serena Beller		
Signature		Date	7/15/04

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

O I P E  
JUL 19 2004  
11321-P012USD4  
ATTORNEY DOCKET NO.  
PATENT & TRADEMARK OFFICE

PATENT  
Serial No. 10/033,092

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Smalley, et al.

Serial No.: 10/033,092

Filed: December 28, 2001

Art Group: 1754

Examiner: Not yet assigned

Title: METHOD FOR FORMING A PATTERNED ARRAY OF SINGLE-WALL CARBON  
NANOTUBES

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**NOTIFICATION OF LOSS OF ENTITLEMENT  
TO SMALL ENTITY STATUS (37 C.F.R. 1.28(3)(b))**

Dear Sir:

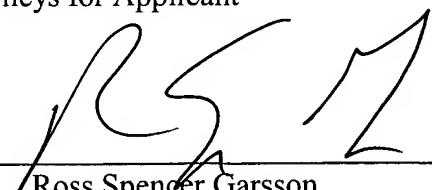
As required by 37 CFR 1.28(3)(b), the U.S. Patent and Trademark Office is hereby notified that the owner of this patent application is no longer entitled to status as a small entity.

If there are any questions related to this matter, the PTO is requested to contact Ross Spencer Garsson at 512.370.2870.

Respectfully submitted,

WINSTEAD SECHREST & MINICK P.C.  
Attorneys for Applicant

Date: 7/15/04

By:   
Ross Spencer Garsson  
Reg. No. 38,150

P.O. Box 50784  
Dallas, Texas 75201  
(512) 370-2870